



DAF | THE MULTI-ASSET FUND

Capital Preservation Through Diversification

September 2020

VEHICLE AND STRUCTURE

Company:	Navigator Global Fund Manager Platform SPC
Segregated Portfolio:	Carlton James Diversified Alpha Fund
Investment Manager:	Mollitium Investment Management Ltd
Domicile:	Cayman Islands
Investment Advisor:	Diversified Global Investment Advisors Ltd
Structure:	Regulated unlisted fund
Share Classes:	GBP: ISIN KYG6401N2520 USD: ISIN KYG6401N2454
Management Fee:	1%
Performance Fee:	30% over a hurdle of 9% per annum
Hurdle Fee:	9%
Liquidity:	Monthly liquidity with 10 days notice period

KEY PEOPLE

- Bob Pain**, has a wealth of experience, with over 30 years experience with leading life and investment companies. Previously holding top positions in companies such as Friends Provident & Investors Trust. As well as other positions, Mr Pain currently holds the position of CEO of The Association of International Life Offices.
- Simon Calton**, Co-Founder and CEO of the Carlton James Group. Over 15 years' experience in investment structuring. Contributes to numerous publications on property and global economic investment including Forbes, South China Morning Post, Bloomberg and Yahoo! Finance.
- Chris Phillips**, has over 40 years' experience in international corporate finance, real estate and the environmental sectors. Previous positions include MD of Colliers Capital UK, Director of Lombard Odier, MD of Prudential Bache's UK equities and Bond business and currently holds positions as Chairman of Places for People and London Newcastle
- Simon Johnson**, 25 years' experience in Debt Fund Management and is a UK FCA Approved person. Simon's previous experience includes NEL Fund Management and Barclays Bank

INVESTMENT PHILOSOPHY

The Carlton James Diversified Alpha Fund (DAF - The Multi-Asset Fund) was created to protect investors from recession. By preparing for each stage of the economic cycle, the fund is able to consistently enjoy above average market returns. During the height of the COVID-19 pandemic and global recession, DAF - The Multi-Asset Fund continues to preserve investor capital with a track record of growth during recession.

DAF - The Multi Asset Fund is designed to manage risk and diversify its structure over multiple asset classes, jurisdictions and market places to offer a truly diversified strategy that can secure shareholders against market uncertainty. The Carlton James Group have structured DAF - The Multi Asset Fund to utilise their existing strategies historically overcoming market volatility during recession.

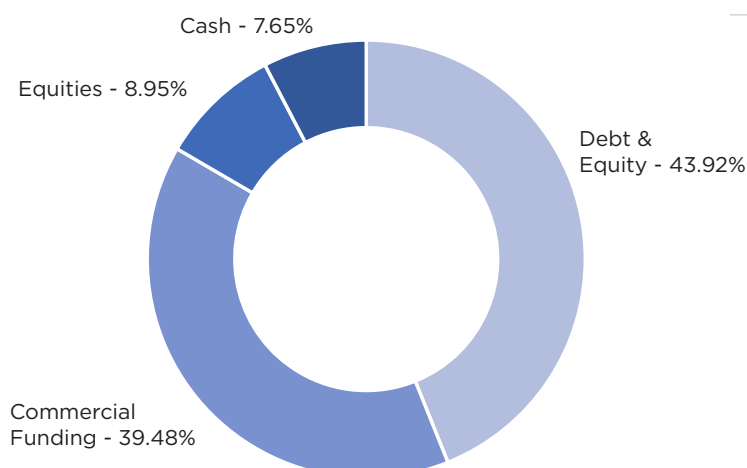
FUND HIGHLIGHTS

- Cayman regulated mutual fund
- The fund returned **+14.84%** over the last **12 months**
- Expected net yield to investors of **8%** p.a. (paid quarterly)
- Fund has achieved **+10.06% Year to date** in 2020
- Fund achieved **+11.81%** in 2019

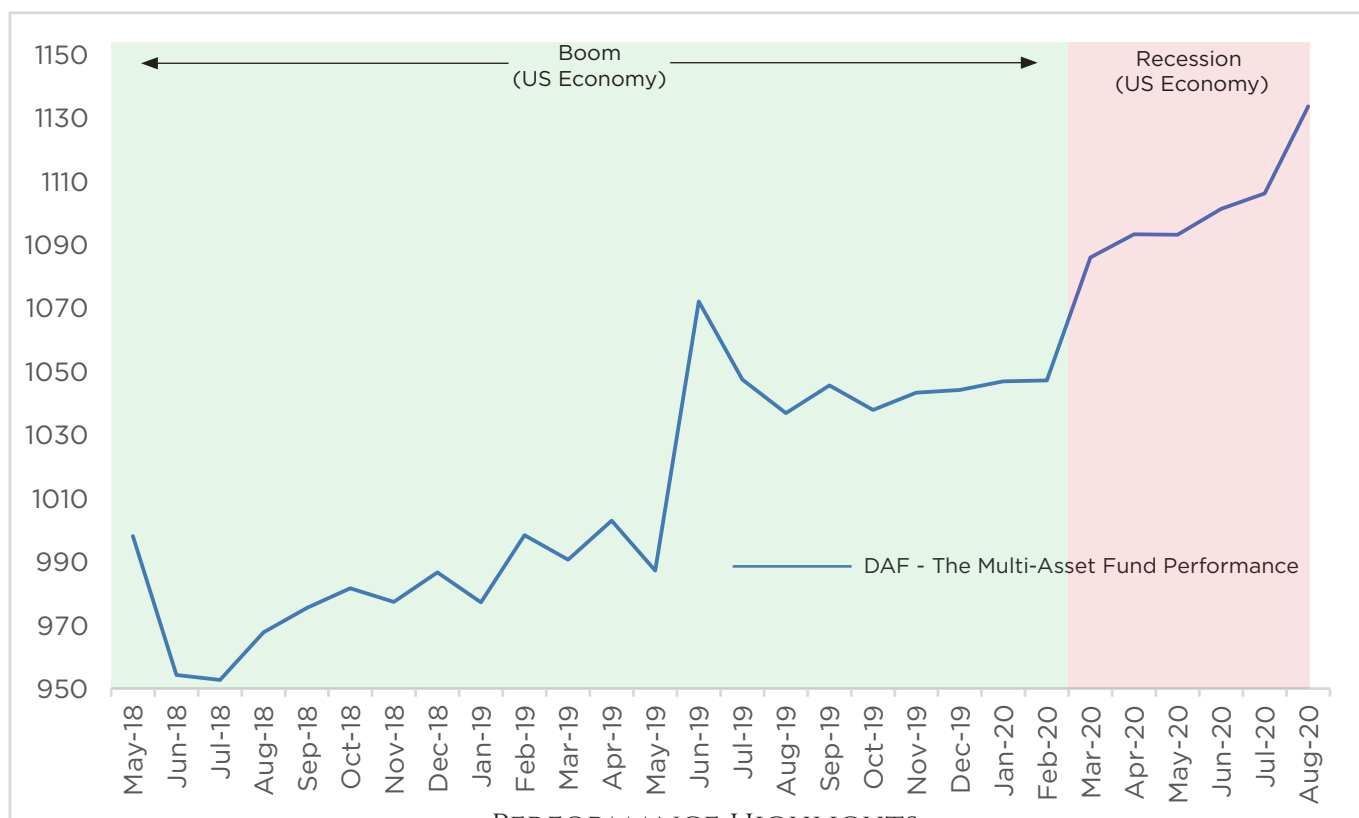
FUND OBJECTIVE

- To protect investor capital against recession and market volatility to produce consistent returns through diversifying the investment portfolio
- To apply over half the fund portfolio in senior and secured yield generating debt investments
- Scarcity of global capital & lack of flexibility provides significant opportunities for DAF

ASSET ALLOCATION



PERFORMANCE SINCE LAUNCH - MAY 2018



PERFORMANCE HIGHLIGHTS

Period	August 2020	Year to date	1 year	August 31st Share Price: \$1133.86 USD
Performance %	+2.48%	+10.06%	+14.84%	

MONTHLY NAV PERFORMANCE (SHARE CLASS: USD)

	2018	2019	2020
January		-0.96%	+0.23%
February		+2.16%	+0.35%
March		-0.77%	+3.73%
April		+1.23%	+0.67%
May	-0.19%	-1.57%	-0.02%
June	-4.38%	+8.59%	+0.75%
July	-0.16%	-2.30%	+0.45%
August	+1.57%	-1.01%	+2.48%
September	+0.80%	+0.85%	
October	+0.63%	-0.75%	
November	-0.42%	+0.52%	
December	+0.94%	+0.09%	

PLATFORM AVAILABILITY

- Hansard International
- Custodian Life
- Cornhill Management
- Capital International Group
- Gravitas
- Tirreme
- Dentons
- Ortega
- OMI S.A
- The Investment Platform
- Universal Investment Platform

More information on these strategies can be found on the carltonjamesgroup.com under [Press, News & Media \(https://carltonjamesgroup.com/media-news/\)](https://carltonjamesgroup.com/media-news/) or on the Carlton James Group LinkedIn page. Follow Carlton James Global Investment Group for regular updates.

PREPARATION & PLANNING

Despite unprecedented global challenges to market conditions in 2020, the DAF fund has achieved its annual investment objective within the first eight months by returning +10.06% YTD.

This significant outperformance is generated by achieving consecutive positive returns month on month through 2020 and is attributed to the robust preparation and due diligence undertaken by the Investment Committee to ensure our investors' capital is protected during market downturns.

The layering approach that the committee have taken for the fund mixes investment in cyclical, defensive and efficiency-based assets. This multiple approach continues to serve the fund well during the first part of 2020 where many others are faltering.

Carlton James Diversified Alpha Fund strategy of capital preservation through diversification will continue to help the fund grow even in times of uncertainty. This is, of course, what the fund is designed to do.

CONTACT US



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